07-31-9

EXPRESS MAIL NO. EV170132465US

TDANC	RAITTAI	rsons are required to respond to a collection of in Application Number	09/685,830	
_	MITTAL	Filing Date	October 9, 2000	
FO	RM	First Named Inventor	Alexander Gaiger	
To be used for a	all correspondence	Group Art Unit	1644	
	an correspondence itial filing)	Examiner Name	Ronald B. Schwadron	
ooc #)	•	Attorney Docket No.	210121.465C3	
:MI	ENCL	OSURES (check all that app	oly) 	
Fee Transmittal Fee Attache Amendment/Res After Final Affidavits/de Extension of Tim Express Abando Request Information Disc Statement; Form Cited Reference Certified Copy o Document(s) Response to Mis under 37 C.F.R. Response to Mis	ed sponse eclaration(s) ne Request onment closure n PTO-1449 es of Priority ssing Parts 1.52 or 1.53 ssing	Assignment Papers (for an Application) Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer	CD(s), Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brie Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): Copy of Assignment Documen	
Parts/Incomplete		Small Entity Statement Request for Refund F APPLICANT, ATTORNEY,	OR AGENT	
Individual Name		Ph.D., Reg. No. 50,461	00500 PATENT TRADEMARK OFFICE	
Signature — Lulu L		· Undo		
Date	July 2 9, 2002			
		ERTIFICATE OF MAILING		
I hereby certify that with sufficient posta Washington, D.C. 2	ige as first class r	nail in an envelope addressed	e United States Postal Service I to: Commissioner for Patents,	
Typed or printed na	ime			

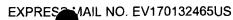
OIPE CO

PTO/SB/17 (11-00) Approved for use through 10/31/2002. OMB 064 1 032

\p \(\bar{\bar{\bar{\bar{\bar{\bar{\bar{					Col	mplete if Known	41
	An	plication	Numbe	r (9/68	5,830	2 2
FEE TRANSMETAL FOR FY 2002		Filing Date October 9, 2000				300	
for FY 2002	Fin	st Named	Invent	or /	Alexa	nder Gaig r	5
		aminer N	lame			d B. Schwadron	5 4
Patent fees are subject to annual revision.		Group Art Unit 1644				7 7	
TOTAL AMOUNT OF PAYMENT (\$) 460	Att	torney Do	cket No	o. 2	21012	1.465C3	
METHOD OF PAYMENT					FEE CA	LCULATION (continued)	40
X Payment Enclosed:	ΙΓ	3. ADDIT Large E			nall		7
X Check Credit card Money Order Other	11	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Deposit Account:		Code 105	(\$) 130	Code 205	(\$) 65	Surcharge - late filing fee or oath	
Deposit 40.4000	1	127	50	227		Surcharge - late provisional filing fee	
Account Number 19-1090	Н	120	130	139	130	or cover sheet. Non-English specification	-
Deposit Account Seed Intellectual Property Law Group		139				For filing a request for ex parte	
Name PLLC		147	2,520	147		reexamination	
The Commissioner is authorized to (check all that apply)	Н	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) during the pendency of this application		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filing fee		115	110	215	55	Extension for reply within first month	
X Charge any deficiencies		116	400	216	200	Extension for reply within second month	
to the above-identified deposit account.		117	920	217	460	Extension for reply within third month	460
X Applicant claims small entity status. See 37 CFR 1.27.	11	118	1,440	218	720	Extension for reply within fourth month	
TEE ON OWN ATION	1 1	128	1,960	228	980	Extension for reply within fifth month	
FEE CALCULATION	1 1	119	320	219	160	Notice of Appeal	
BASIC FILING FEE Large Entity Small Entity		120	320	220	160	Filing a brief in support of an appeal	
Fee Fee(\$) Fee Fee(\$) Fee Description Fee		121	280	221	140	'	-
101 740 201 370 Utility filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee	11	140	110	240		Petition to revive – unavoidable	ļ
108 740 208 370 Reissue filing fee	11	141	1,280	241		Petition to revive – unintentional	
114 160 214 80 Provisional filing	11	142	1,280	242		Utility issue fee (or reissue)	\vdash
fee SUBTOTAL (1) (\$) 0	i i	143 144	460 620	243 244		Design issue fee Plant issue fee	
	1	122	130	122			
2. EXTRA CLAIM FEES Fee						Petitions related to provisional	
Extra from Fee Claims below Paid		123	50	123	50	applications	<u> </u>
Total Claims = + =		126	180	126	180	Submission of Information Disclosure Stmt	
Independent Claims * = = = =		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Multiple		146	740	246	370	Filing a submission after final rejectio (37 CFR § 1.129(a))	n T
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee		149	740	249	370	For each additional invention to be	
Code (\$) Code (\$)						examined (37 CFR § 1.129(b))	
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3		179	740	279	370	Request for Continued Examination (RCE)	
104 280 204 140 Multiple dependent claim, if not paid ** Reissue independent claims over		169	900	169	900	Request for expedited examination of a design application	
109 84 209 42 original patent		Other fee	(specif	y)		acoldi, abbucation	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent			, - F 3 = M				
SUBTOTAL (2) (\$) 0		*Reduce	ed by Ba	sic Filir	ng Fee P	aid SUBTOTAL (3) (\$) 4	60
**or number previously paid, if greater; For Reissues, see above							
SUBMITTED BY			- T			[] [] [] [] [] [] [] [] [] []	1
Name (Print/Type) Julie A. Urvater, Ph.D.		stration N ney/Agen		0,46	1		
Firm Name/						00500	
Address		11.	. 20	2001		PATENT TRADEMARK OFF	ICE

D:\NrPortbl\iManage\\$ANDID\305428_1.DOC

Please type a plus sign (+) inside this box



PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE of a collection of information unless it displays a valid OMB control number. JUL 2 9 2002 Under the Paperwork Reduction Act of 1995, no persons

ELECTION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

re required to respond to a collection of	Information unless it displays a valid ONE control number		
Application Number	09/685,830		
Filing Date	October 9, 2000		
First Named Inventor	Alexander Gaiger		
Group Art Unit	1644		
Examiner Name	Ronald B. Schwadron		
Attorney Docket Number	210121.465C3		

I hereby ap Practition OR Practition	ers at See	ed IP Law Group PLLC led below:	_		005 PATENT TRADE	
Γ		Name		Registrati	on Number	
		or agent(s) to prosecute the application nected therewith.	identifie	d above, and to	transact all busi	ness in the Patent and
Please change the correspondence address for the above-identified application to: The above-mentioned Customer Number. OR						
Firm <i>or</i>	l Name					
Address						
Address						
City			State		ZIP	
Country						
Telephone			Fax			
I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). As assignee of record of the entire interest hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor						
		SIGNATURE of Applica	nt or A	ssignee of Rec	ord	
Name	Kathleer	n Nora McKereghan				
Signature Walnum						
Date 2 2002						
Submit multip	ole forms	all the inventors or assignees of record if more than one signature is required			or their represer	ntative(s) are required.
X *Total of 1	form is s	submitted.				

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

JUL 2 9 2002

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, po persons are settled to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Alexander Gaiger and Martin A. Cheever				
Application No./Patent No.: 09/685,830 Filed/Issue Date: Octob	per 9, 2000			
Entitled: COMPOSITIONS AND METHODS FOR WTI SPECIFIC IMMUNOTHI	ERAPY			
Corixa Corporation , A corporation (Name of Assignee) (Type of Assignee, e.g., corpor university, government a	ation, partnership, gency, etc.)			
states that it is:				
1. The assignee of the entire right, title, and interest; or				
2. X an assignee of an undivided part interest				
in the patent application/patent identified above by virtue of either:				
A. X An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.				
OR B. A chain of title from the inventor(s), of the patent application/patent identified current assignee as shown below:	above, to the			
1. From:				
The document was recorded in the United States Patent and Trademark C Reel, Frame, or for which a copy thereof is attached	office at			
2. From: To:				
The document was recorded in the United States Patent and Trademark C Reel, Frame, or for which a copy thereof is attached	office at			
3. From: To:				
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.				
Additional documents in the chain of title are listed on a supplemental sheet.				
Copies of assignments or other documents in the chain of title are attached.				
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original docu submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be reco of the USPTO. See MPEP 302.8]				
The undersigned (whose title is supplied below) is authorized to act on behalf of the	assignee.			
2 May 3007 Kathleen Nora McKereghan pate Typed or printed name	1			
Date Typed or printed name				
Signature Signature	۷			
Senior Vice President, General C	ounsel			
and Secretary Title				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, I,

Name	Post Office Address	
Martin A. Cheever	6210 Southeast 22 nd , Mercer Island, Washington 98040	,

(hereinafter referred to as ASSIGNOR), having a post office address as listed above next to my name, am a joint inventor (with Alexander Gaiger) of an invention entitled

COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/685,830, as filed in the United States Patent and Trademark Office on October 9, 2000 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/684,361	10/06/00		210121.465C2
09/276,484	03/25/1999		210121.465C1
09/164,223	09/30/1998		210121.465

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues

210121.465C3 1 of 2

and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEES and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, transfer and sale not been made.

ASSIGNOR hereby authorizes and requests the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNOR agrees to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

4/17/02	Master a Cheen
Date	Signature of Martin A. Cheever
State of WASHINGTON	SS.
County of KING	

I certify that I know or have satisfactory evidence that Martin A. Cheever is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated <u>Opril 17</u>, 2002

Signature of <u>Kelli J. Endresol</u>

My appointment expires 12/20/2003

34448